



PATENT  
Docket No. 529282000400

### DECLARATION FOR UTILITY PATENT APPLICATION

AS A BELOW-NAMED INVENTOR, I HEREBY DECLARE THAT:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: TREATMENT OF INFLAMMATORY AND MALIGNANT DISEASES, the specification of which is attached hereto unless the following box is checked:

☒ was filed on February 4, 2002 as United States Application Serial No. 10/068,471 as was amended on February 4, 2002.

I HEREBY STATE THAT I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

I acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing	Priority Claimed?
PCT/AU00/00932		August 4, 2000	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
PQ 2014	Australia	August 4, 1999	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to

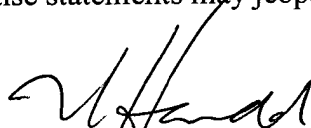
patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.


8 OCT 2002

Date

  
 Name: Malcolm Lovell HANDEL  
 Residence: 3 Newark Crescent, Lindfield, New South Wales 2070 Australia  
 Citizenship: Australia  
 Post Office Address: 3 Newark Crescent, Lindfield, New South Wales 2070 Australia

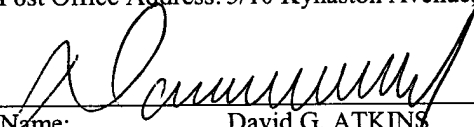
9/16/02

Date

  
 Name: Ly Quoc Quynh NGUYEN  
 Residence: 5/10 Kynaston Avenue, Randwick, New South Wales 2031 Australia  
 Citizenship: Australia  
 Post Office Address: 5/10 Kynaston Avenue, Randwick, New South Wales 2031 Australia

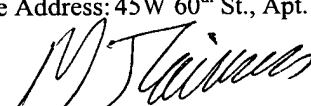
9/23/02

Date

  
 Name: David G. ATKINS  
 Residence: 45W 60<sup>th</sup> St., Apt. 17A, New York, NY 10023, United States  
 Citizenship: Australia  
 Post Office Address: 45W 60<sup>th</sup> St., Apt. 17A, New York, NY 10023, United States

8 Oct 2002

Date

  
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 Residence: 17 Alpha Road, Woy Woy, New South Wales 2256 Australia  
 Citizenship: Australia  
 Post Office Address: 17 Alpha Road, Woy Woy, New South Wales 2256 Australia

96/318/US



PTO/SB/96 (08-00)

Approved for us through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Malcolm Lovell HANDEL et al.

Application No./Patent No.: 10/068,471

Filed/Issue Date: February 4, 2002

Entitled: TREATMENT OF INFLAMMATORY AND MALIGNANT DISEASES

Johnson & Johnson Research Pty Ltd and Unisearch Limited, both Australian corporations

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

state that they are:

1. ☒ the assignees of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.

The extent (by, percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

**[NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

Attorney Docket No.: 529282000400

pa-716641

Each undersigned (whose title is supplied below) is authorized to act on behalf of the assignee for which they sign.

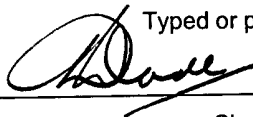
Johnson & Johnson Research Pty Ltd

OCTOBER 10<sup>th</sup> 2002

Date

DENIS N. WADE

Typed or printed name



Signature

CHAIRMAN

Title

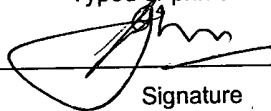
Unisearch Limited

18 OCTOBER 2002

Date

DR RICHARD SHARP

Typed or printed name



Signature

DIRECTOR - TECHNOLOGY COMMERCIALISATION

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Attorney Docket No.: 529282000400

**COPY**

**ASSIGNMENT  
JOINT**

NGUYEN *Quynh*

THIS ASSIGNMENT, by Malcolm Lovell HANDEL, Ly Quoc ~~QUYNH~~, David G. ATKINS and Murray John CAIRNS (hereinafter referred to as the assignors), residing at 3 Newark Crescent, Lindfield, New South Wales 2070 Australia, 5/10 Kynaston Avenue, Randwick, New South Wales 2031 Australia, 45W 60<sup>th</sup> St., Apt. 17A, New York, NY 10023, United States, and 17 Alpha Road, Woy Woy, New South Wales 2256 Australia, respectively, witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in TREATMENT OF INFLAMMATORY AND MALIGNANT DISEASES, set forth in an application for Letters Patent of the United States, having an oath or declaration executed on even date herewith; bearing Serial No. 10/068,471 and filed on February 4, 2002; and

WHEREAS, Johnson & Johnson Research Pty Ltd and Unisearch Limited, corporations duly organized under and pursuant to the laws of Australia and having principal places of business at Level 4, 1 Central Avenue, Australian Technology Park, Eveleigh, New South Wales 1430 Australia and Gate 14, Barker Street, UNSW, Sydney, New South Wales 2052 Australia, respectively (hereinafter referred to as the assignees) are desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

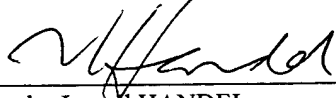
NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said assignees, their successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignees, for their own use and the use of their successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignees their successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

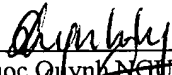
AND for the same consideration, said assignors hereby covenant and agree to and with said assignees, their successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignees, or the counsel of their successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignees, their successors, legal representatives and assigns, but at the cost and expense of said assignees, their successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignees as the assignees of said inventions and the Letters Patent to be issued thereon for the sole use of said assignees, their successors, legal representatives and assigns.

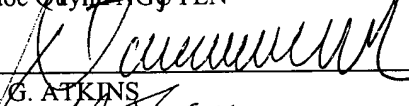
8 OCT 2002  
Date

  
Malcolm Lovell HANDEL


9/16/02  
Date

  
Ly Quoc Quynh NGUYEN

9/23/02  
Date

  
David G. ATKINS

8/10/2002  
Date

  
Murray John CAIRNS